

# Investigations

Allegations of wrongdoing, including corporate or executive misconduct and claims of workplace harassment, discrimination, or reprisal can pose an urgent threat to any organization – and may raise significant litigation and regulatory risks. A rapid, fair, and thorough investigation is often the critical first step in addressing those challenges.

We conduct internal investigations for boards of directors, special committees, and management when they are confronted with critical and sensitive situations, including where investigations have been ordered by regulators. We are retained by both private and public entities to investigate allegations of wrongdoing at the most senior levels of organizations. We offer a full range of services, from discrete independent investigations to hands-on crisis management and advice, and have robust experience guiding enterprises through public, governmental, and regulatory scrutiny.

Our team is relied upon to conduct investigations with efficiency, discretion, and the utmost capability. We have an unparalleled understanding of the law – and the practical considerations – courts and regulators apply in assessing investigations.

Representative mandates include the following:

- Retained by a Special Committee of the Board of a public company to conduct an internal investigation into allegations by a short-seller of material non-disclosure.
- Internal investigation into allegations of breaches of confidentiality in a publicly-traded corporation.
- Internal investigation into possible breaches of a government procurement process by a corporation.
- Internal investigation into allegations of harassment, discrimination, and self-dealing made by former general counsel of an organization against its senior leadership.
- Independent investigation into allegations of sexual misconduct by a senior official.
- Independent investigation into allegations of bullying and breach of policy by a senior official.
- Independent investigation into allegations of threats and bullying in a unionized environment.
- Independent investigation into allegations of breaches of

fiduciary duties by a member of a board of directors.

- Internal investigation into allegations of interference in a quasi-judicial process.

### SELECT CASES

- **Internal Investigation** – Internal investigation into allegations of harassment, discrimination, and self-dealing made by former general counsel of an organization against its senior leadership.
- **Internal Investigation** – Internal investigation into possible breaches of a government procurement process by a corporation.
- **Internal Investigation** – Internal investigation into allegations of interference in a quasi-judicial process.
- **Independent Investigation** – Independent investigation into allegations of bullying and breach of policy by a senior official.
- **Internal Investigation** – Internal investigation into allegations of breaches of confidentiality in a publicly-traded corporation.
- **Independent Investigation** – Independent investigation into allegations of breaches of fiduciary duties by a member of a board of directors.
- **Independent Investigation** – Independent investigation into allegations of sexual misconduct by a senior official.
- **Independent Investigation** – Independent investigation into allegations of threats and bullying in a unionized environment.
- **Internal Investigation** – Retained by a Special Committee of the Board of a public company to conduct an internal investigation into allegations by a short-seller of material non-disclosure.

### SELECT PUBLICATIONS AND PRESENTATIONS

- **A 2023 Snapshot: Through the Lens of Lenczner Slaght** – Lenczner Slaght launches *A 2023 Snapshot*, a look at the most significant developments, decisions, business takeaways, and trends in litigation from the last year, across 15 practice areas. Revisit 2023 and look ahead to 2024 through the lens of our expert litigators.
- **21st Annual Current Issues in Employment Law** – Colin Johnston will present at the OBA's 21st Annual Current Issues in Employment Law, an essential program for staying up to date on the latest developments in employment law. Colin will share his expertise on the panel *Best Practices for Conducting Workplace Investigations*.
- **Emerging Issues in Directors' and Officers' Liability 2021** – Matthew B. Lerner shared his expertise at the LSO's program *Emerging Issues in Directors' and Officers' Liability 2021*. Matthew discussed *Internal Investigations and Professionalism Issues*.